

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 16, 2019		Payment Due Date: November 18, 2019	
MSA File Number	2019-279	Specified Penalty Amount	\$500
Registered Entity Name	City of Medicine Hat		
Asset ID (if applicable)	CMH1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule	306.5	Date of Contravention	April 18, 2019
Date of Referral/Self Report	June 27, 2019	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On April 18, 2019, from approximately 10:30 to 12:43, the City of Medicine Hat made several available capability (AC) restatements for the CMH1 asset to 255 MW with the reason "Normal operation" affecting several settlement hours spanning from May 17, 2019 through April 30, 2020. These AC restatements revised planned outages with "Normal operations" from 250 MW AC to 255 MW AC.

These AC restatements also revised planned outages with various reasons that had been submitted to occur in the next 24 months which reduced the MW capability of CMH1 by volumes between 40 MW to 105 MW. The aforementioned AC submissions either revised the AC to 255 MW with the reason "Normal Operation", or moved the planned outage to occur on different settlement intervals and dates. Information available to the MSA indicates that these restatements were not made as soon as reasonably practical. Section 306.5 of the ISO rules states in part:

- 3(1) A pool participant must, in respect of any planned outage, submit to the ISO:
 - (a) the dates, times, durations and impact to MW capability for the planned outage;
 - (b) the specific nature of the planned outage work to be done; and
 - (c) a designation of the planned outage as "Derate-Planned" or "Outage-Planned".
- (2) A pool participant must, by the first (1st) day of every month after the date of energization, submit the information set out in subsection 3(1) to the ISO related to planned outages that, as of the time of the submission, are planned to occur at any time within the next twenty-four (24) months.
- (3) A pool participant must, with respect to:
 - (a) any revisions to the information submitted to the ISO under subsection 3(1); or
 - (a) a planned outage that is not included in the submission set out in subsection 3(2);
 submit such information or planned outage as soon as reasonably practical.
- (4) A pool participant must, if information submitted under subsection 3(3) is submitted later than three (3) months prior to the day the planned outage is to start, include a statement in its submission setting out the reasons that the information varies from the original subsection 3(1) submission or was not included in the submission set out in subsection 3(2).

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 306.5.

MATERIAL FACTS

- The material facts relied upon by the MSA include the following:
1. AESO available capability and restatement data for CMH1 from April 18, 2019 through April 30, 2020.
 2. AESO information request issued to City of Medicine Hat, dated May 3, 2019.
 3. City of Medicine Hat's response to the AESO information request, dated May 14, 2019.
 4. Referral from the AESO to the MSA dated June 27, 2019.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	October 15, 2019
Name	Andrew Wilkins	Title	Director, Compliance