

## NOTICE OF SPECIFIED PENALTY

Date of Issue: September 20, 2019		Payment Due Date: October 21, 2019	
MSA File Number	2019-242	<b>Specified Penalty Amount</b>	<b>\$250</b>
Registered Entity Name	ATCO Electric Ltd.		
Asset ID (if applicable)		Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	306.4	Date of Contravention	June 3, 2019
Date of Referral/Self Report	June 7, 2019	This is the first contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

Prior to the event, on May 28, an ATCO project manager decided to delay a previously scheduled work project at the 791S Rainbow Lake substation, delaying from June 4, 2019, to July 7, 2019.

On June 3, 2019, by 10:00, ATCO Electric Ltd. had not submitted to the AESO any changes to their previously planned outage request.

On June 4, 2019, the AESO contacted ATCO regarding the status of the Rainbow Lake substation work project; at this time ATCO Electric Ltd. realized it had not informed the AESO of the scheduling change. Section 306.4 of the ISO Rules states in part:

- 4(1) The legal owner of a transmission facility must submit to the ISO any changes to a previously submitted planned outage request, including cancellations, as soon as possible, and no later than 10:00 am on the business day before the first day impacted by the intended change to the previously submitted planned outage request.
- (2) The legal owner of a transmission facility must, if it is unable to comply with subsection 4(1), submit to the ISO a cancellation of a planned outage request as soon as possible after the deadline set out in subsection 4(1), and provide a reason as to why it was unable to submit the cancellation by that deadline.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 306.4.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Self-report ATCO Electric Ltd. submitted to the MSA dated June 7, 2019.
2. Mitigation Plan Submittal Form ATCO Electric Ltd. submitted to the MSA dated June 7, 2019.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) [Jeremy.Smith@auc.ab.ca](mailto:Jeremy.Smith@auc.ab.ca), and Greg Andrews (Investigator) [Greg.Andrews@auc.ab.ca](mailto:Greg.Andrews@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

SIGNATURE			
Signature	"Original Signed"	Signature Date	September 17, 2019
Name	Anders Renborg	Title	Director, Compliance