

## NOTICE OF SPECIFIED PENALTY

Date of Issue: September 20, 2019		Payment Due Date: October 21, 2019	
MSA File Number	2019-218	<b>Specified Penalty Amount</b>	<b>\$250</b>
Registered Entity Name	Syncrude Canada Ltd.		
Asset ID (if applicable)	SCL1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	306.5	Date of Contravention	April 28, 2019
Date of Referral/Self Report	May 17, 2019	This is the first contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

On April 28, 2019, SCL1 had been on an outage—scheduled from April 17 to 28, 2019—when workers discovered additional tube leaks that would require additional work. This work would require the asset to delay its start-up until April 30th. Syncrude did not extend the outage on April 28. On April 29th, at approximately 09:00, Syncrude called the AESO to inform them of the need to extend the outage until April 30. Section 306.5 of the ISO Rules states in part:

- 3(1) A pool participant must, in respect of any planned outage, submit to the ISO:
  - (a) the dates, times, durations and impact to MW capability for the planned outage;
  - (b) the specific nature of the planned outage work to be done; and
  - (c) a designation of the planned outage as “Derate-Planned” or “Outage-Planned”.
- (2) A pool participant must, by the first (1<sup>st</sup>) day of every month after the date of energization, submit the information set out in subsection 3(1) to the ISO related to planned outages that, as of the time of the submission, are planned to occur at any time within the next twenty-four (24) months.
- (3) A pool participant must, with respect to:
  - (a) any revisions to the information submitted to the ISO under subsection 3(1); or
  - (a) a planned outage that is not included in the submission set out in subsection 3(2);
 submit such information or planned outage as soon as reasonably practical.
- (4) A pool participant must, if information submitted under subsection 3(3) is submitted later than three (3) months prior to the day the planned outage is to start, include a statement in its submission setting out the reasons that the information varies from the original subsection 3(1) submission or was not included in the submission set out in subsection 3(2).

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 306.5.

### MATERIAL FACTS

- The material facts relied upon by the MSA include the following:
1. AESO available capability and outage data for SCL1 for April, 2019.
  2. Self-report Syncrude Canada Ltd. submitted to the MSA dated May 17, 2019.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the “General Revenue Fund c/o Minister of Finance”, and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) [Jeremy.Smith@auc.ab.ca](mailto:Jeremy.Smith@auc.ab.ca), and Greg Andrews (Investigator) [Greg.Andrews@auc.ab.ca](mailto:Greg.Andrews@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

**SIGNATURE**

Signature	"Original Signed"	Signature Date	September 18, 2019
Name	Anders Renborg	Title	Director, Compliance