

NOTICE OF SPECIFIED PENALTY

Date of Issue: September 20, 2019		Payment Due Date: October 21, 2019	
MSA File Number	2019-169	Specified Penalty Amount	\$500
Registered Entity Name	Grande Prairie Generation Inc.		
Asset ID (if applicable)	NPP1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule	205.3	Date of Contravention	September 12, 2018
Date of Referral/Self Report	April 23, 2019	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

At approximately 21:38 on September 12, 2018 NPP1 tripped offline. At the time NPP1 was dispatched to provide 80 MW of Energy and 6 MW of Active Spinning Reserves. The unit also had a contract to provide 24 MW of Standby Regulating Reserves. At 21:51 and 21:52 the Available Capability of NPP1 was restated to 0 MW for HE22 and 23 respectively. However, no restatement regarding the asset's provision of Ancillary Services was made until 22:04 when Active Spinning Reserves were restated to 0MW for HE23. Subsection 3 of ISO Rules 205.3 states, in part:

3(4) A pool participant that submits an offer must, as soon as reasonably practicable, submit a restatement to represent the operating state of the pool asset if:

- (a) the pool asset is no longer able to deliver the MW set out in the offer; or
- (b) the pool participant is not able to meet the requirements set out in Section 205.4 of the ISO rules, *Regulating Reserve Technical Requirements and Performance Standards*, Section 205.5 of the ISO rules, *Spinning Reserve Technical Requirements and Performance Standards* or Section 205.6 of the ISO rules, *Supplemental Reserve Technical Requirements and Performance Standards*, as applicable.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 205.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Available Capability restatement and Ancillary Services restatement data for NPP1 for September 12, 2018.
2. Generation and dispatch data for NPP1 for September 12, 2018.
3. AESO information request issued to Grande Prairie Generation Inc. dated February 8, 2019.
4. Grande Prairie Generation Inc.'s response to the AESO information request dated March 21, 2019.
5. Referral from the AESO to the MSA dated April 23, 2019.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original signed"	Signature Date	September 13, 2019
Name	Anders Renborg	Title	Director, Compliance