

NOTICE OF SPECIFIED PENALTY

Date of Issue: July 19, 2019

Payment Due Date: August 19, 2019

MSA File Number 2019-111

Registered Entity Name Genalta GP II Ltd.

Specified Penalty Amount

\$1,500

Asset ID (if applicable) GEN6

Self-Report

☐ YES ☒ NO

ISO Rule 203.4

Date of Contravention

August 21, 2018

Date of Referral/Self Report March 6, 2019

This is the first contravention by this asset for this rule within a rolling 12 month period.

EVENT DETAILS

On August 21, 2018, the GEN6 generating asset was dispatched to provide 10 MW of energy. However, for the 10 minute clock periods between approximately 03:40–04:29 and 05:20–05:49, GEN6 varied the average MW it delivered to the interconnected electric system by more than the allowable dispatch variance. No available capability restatements were submitted during the HE 04 through 06 settlement intervals. Section 203.4 of the ISO rules states:

3(1) A pool participant must not, during generating asset steady state, vary the average MW it delivers from a generating source asset in any 10 minute clock period from the dispatch MW by more than the allowable dispatch variance.[...]

5(1) A pool participant must, if a generating source asset experiences an operational deviation, verbally inform the ISO as soon as practical of the occurrence of the operational deviation and provide a description of the cause if known.

(2) A pool participant must inform the ISO of the information required under subsection 5(1) on a telephone line the ISO designates, which must contain a voice recording system.

(3) A pool participant must, if an operational deviation extends for twenty (20) minutes or longer, submit an available capability restatement or MW restatement for the generating source asset that represents the operational capability of the generating source asset and must do so no later than twenty (20) minutes after the commencement of the operational deviation.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 203.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch and generation data for GEN6 for August 21, 2018.
2. AESO information request issued to Genalta GP II Ltd. dated January 14, 2019.
3. URICA Energy Real Time Ltd.'s response to the AESO information request submitted on behalf of Genalta GP II Ltd. dated January 28, 2019.
4. Referral from the AESO to the MSA dated March 6, 2019.
5. URICA Energy Real Time Ltd.'s additional information provided to the MSA submitted on behalf of Genalta GP II Ltd. dated March 14, 2019.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature		Signature Date	July 19, 2019
Name	Anders Renborg	Title	Director, Compliance