

NOTICE OF SPECIFIED PENALTY

Date of Issue: September 20, 2019		Payment Due Date: October 21, 2019	
MSA File Number	2019-055	Specified Penalty Amount	\$250
Registered Entity Name	Syncrude Canada Ltd.		
Asset ID (if applicable)		Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	306.4	Date of Contravention	October 8, 2018
Date of Referral/Self Report	January 30, 2019	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On October 8, 2018 Syncrude Canada Ltd. (Syncrude) isolated Transformer T14 (72kV/13.8kV) for preventative maintenance work. Syncrude did not submit an outage request to the AESO for this outage. Following communications with the AESO, Syncrude submitted outage information regarding T14 to the AESO on October 10, 2018. The outage was subsequently published in the AESO's Approved Outages reports. Subsection 3 of section 306.4 of the ISO rules states in part:

(4) The legal owner of a transmission facility must submit to the ISO a non-significant planned outage request no later than 12:00 noon on Tuesday in the week before the operating week in which the non-significant planned outage is intended to occur.

(5) The legal owner of a transmission facility must, on the Tuesday before each operating week and prior to 12:00 noon, resubmit to the ISO all planned outage requests that the legal owner intends to conduct in the following operating week.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 306.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Self-report submitted by Syncrude Canada Ltd. to the MSA dated January 28, 2019.
2. AESO's Response to Syncrude Canada Ltd.'s Request For Information dated January 16, 2019.
3. AESO Approved Outages reports for October 2018.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE			
Signature	"Original signed"	Signature Date	September 13, 2019
Name	Anders Renborg	Title	Director, Compliance