

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 18, 2019		Payment Due Date: November 18, 2019	
MSA File Number	2018-508	Specified Penalty Amount	\$250
Registered Entity Name	ENMAX Power Corporation		
Asset ID (if applicable)		Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	306.4	Date of Contravention	December 3, 2018
Date of Referral/Self Report	December 14, 2018	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

ENMAX Power Corporation internally cancelled an approved transmission facility outage on November 28, 2018, with the outage scheduled to begin on December 3, 2018. However ENMAX Power Corporation did not notify the AESO that the outage had been cancelled until December 3, 2018. Subsection 4 of section 306.4 of the ISO rules states:

(1) The legal owner of a transmission facility must submit to the ISO any changes to a previously submitted planned outage request, including cancellations, as soon as possible, and no later than 10:00 am on the business day before the first day impacted by the intended change to the previously submitted planned outage request.

(2) The legal owner of a transmission facility must, if it is unable to comply with subsection 4(1), submit to the ISO a cancellation of a planned outage request as soon as possible after the deadline set out in subsection 4(1), and provide a reason as to why it was unable to submit the cancellation by that deadline.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 306.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Self-report submitted by ENMAX Power Corporation to the MSA dated December 14, 2018.
2. AESO approved outages for ENMAX Power Corporation for December 3, 2018.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original signed"	Signature Date	October 18, 2019
Name	Andrew Wilkins	Title	Director, Compliance