

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 19, 2019		Payment Due Date: March 21, 2019	
MSA File Number	2018-429	Specified Penalty Amount	\$250
Registered Entity Name	Cenovus Energy Inc.		
Asset ID (if applicable)	CL01	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	306.5	Date of Contravention	October 20, 2018
Date of Referral/Self Report	October 25, 2018	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On October 20, 2018, the CL01 generating asset was scheduled for a planned outage. Information available to the MSA indicates that the outage was internally cancelled on September 12, 2018. However, no restatement was made to revise the declared available capability until October 19, 2018. Therefore, Cenovus Energy Inc. had not submitted the outage information to the ISO as soon as reasonably practical. Subsection 3(3) of section 306.5 of the ISO rules states:

A pool participant must, with respect to:

- (a) any revisions to the information submitted to the ISO under subsection 3(1); or
- (a) [sic] a planned outage that is not included in the submission set out in subsection 3(2);

submit such information or planned outage as soon as reasonably practical.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 306.5.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability data for CL01 for October 20, 2018.
2. Self-report submitted by Cenovus Energy Inc. to the MSA dated October 25, 2018.
3. Additional information provided by Cenovus Energy Inc. to the MSA dated December 17, 2018 in response to a MSA communication dated December 14, 2018.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	February 19, 2019
Name	Doug Doll	Title	Director, Corporate Services and Compliance