

## NOTICE OF SPECIFIED PENALTY

Date of Issue: December 12, 2018		Payment Due Date: January 11, 2019	
MSA File Number	2018-309	<b>Specified Penalty Amount</b>	<b>\$250</b>
Registered Entity Name	Suncor Energy Inc.		
Asset ID (if applicable)	SCR6	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	306.5	Date of Contravention	August 13 to September 11, 2018
Date of Referral/Self Report	July 27, 2018	This is the first contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

On August 25, 2017, Suncor Energy Inc. submitted planned outage information regarding a unit associated with its SCR6 generating asset, GTG11. GTG11 was scheduled to be on outage from July 1 to July 31, 2018. On June 28, 2018, Suncor Energy Inc. revised the outage schedule information for GTG11 to August 13, 2018 to September 11, 2018. Information available to the MSA indicates that Suncor Energy Inc. had planned this outage in advance of June 28, 2018, and therefore had not submitted the outage information to the ISO as soon as reasonably practical. Subsection 3(3) of section 306.5 of the ISO rules states:

A pool participant must, with respect to:

- (a) any revisions to the information submitted to the ISO under subsection 3(1); or
  - (a) [sic] a planned outage that is not included in the submission set out in subsection 3(2);
- submit such information or planned outage as soon as reasonably practical.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 306.5.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability data for SCR6 for July 1 to September 11, 2018.
2. Self-report submitted by Suncor Energy Inc. to the MSA dated July 27, 2018.
3. Additional information provided by Suncor Energy Inc. to the MSA dated November 14, 2018 in response to a MSA communication dated November 7, 2018.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) [Jeremy.Smith@auc.ab.ca](mailto:Jeremy.Smith@auc.ab.ca), and Greg Andrews (Investigator) [Greg.Andrews@auc.ab.ca](mailto:Greg.Andrews@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

SIGNATURE			
Signature	"Original Signed"	Signature Date	December 12, 2018
Name	Doug Doll	Title	Director, Corporate Services and Compliance