NOTICE OF SPECIFIED PENALTY

Date of Issue: October 16, 2019			Payment Due Date: November 18, 2019	
MSA File Number	2018-285	**Specified Penalty Amount**	**$250**	
Registered Entity Name	ENMAX Energy Corporation			
Asset ID (if applicable)	TAB1	Self-Report	☒ YES ☐ NO	
ISO Rule	502.1	Date of Contravention	June 13, 2018	
Date of Referral/Self Report	July 10, 2018	This is the first contravention by this asset for this rule within a rolling 12 month period.		

EVENT DETAILS

On June 13, 2018 at approximately 13:18, the TAB1 asset received a Real Power Control Limit Directive for 27.2 MW. From approximately 13:31 to 13:37, TAB1's generation exceeded the directive volume by approximately 4.9 MW. At approximately 13:58, TAB1 received a Real Power Control Limit Directive for 17.7 MW. From approximately 14:05 to 14:11, TAB1's generation exceeded the directive volume by 4.6 MW. The version of section 502.1 of the ISO rules effective on June 13, 2018 states in part:

18(1) Wind aggregated generating facilities must have the control capability to limit the real power output at the point of connection in accordance with any limits or instructions contained in any directive, and in any event the real power output must not exceed the tolerances described in this subsection 18.

[…]

(3) When a real power control limit is in effect in accordance with a directive and wind speed conditions at the wind aggregated generating facilities are increasing, then subject to subsection 18(4), the real power limiting controls of the wind aggregated generating facilities must be capable of keeping the one (1) minute average real power output from exceeding the wind aggregated generating facilities limit specified in the directive by two percent (2%) of the maximum authorized real power.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 502.1.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:
1. AESO available capability, dispatch, generation and energy offer data for TAB1 for June 13, 2018.
2. Self-report submitted by ENMAX Energy Corporation to the MSA dated July 10, 2018.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

NOTICE			
The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019. In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC. In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty. If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.			
SIGNATURE			
Signature	"Original Signed"	Signature Date	October 15, 2019
Name	Andrew Wilkins	Title	Director, Compliance