

NOTICE OF SPECIFIED PENALTY

Date of Issue: November 15, 2018		Payment Due Date: December 17, 2018	
MSA File Number	2018-274	Specified Penalty Amount	\$500
Registered Entity Name	Air Liquide Canada Inc.		
Asset ID (if applicable)	ALS1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule	204.3	Date of Contravention	March 26, 2018
Date of Referral/Self Report	June 29, 2018	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On March 26, 2018 at approximately 11:53, the Dispatch Down Service (DDS) offers for the ALS1 generating asset were restated from 20 MW to 15 MW for the hour ending 12 through 14 settlement intervals without an acceptable operational reason. Subsection 3(2) of section 204.3 of the ISO rules states:

A pool participant must, within two (2) hours of the start of the settlement interval or in the current settlement interval, submit a MW restatement as soon as reasonably practicable, if the source asset cannot comply with the current offer for dispatch down service or with a dispatch for dispatch down service as a result of an acceptable operational reason.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 204.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO generation, dispatch, and DDS offer data for ALS1 for March 26, 2018.
2. AESO information request issued to Air Liquide Canada Inc. dated June 19, 2018.
3. Air Liquide Canada Inc.'s response to the AESO information request dated June 27, 2018.
4. Referral from the AESO to the MSA dated June 29, 2018.
5. Additional information provided by Air Liquide Canada Inc. to the MSA dated October 23, 2018 in response to a MSA communication dated October 15, 2018.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE			
Signature	"Original Signed"	Signature Date	November 15, 2018
Name	Doug Doll	Title	Director, Corporate Services and Compliance