

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 10, 2018		Payment Due Date: November 9, 2018	
MSA File Number	2018-261	Specified Penalty Amount	\$250
Registered Entity Name	AltaGas Ltd.		
Asset ID (if applicable)	HMT1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	306.5	Date of Contravention	May 4 to 23, 2018
Date of Referral/Self Report	June 26, 2018	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

Between May 4, 2018 and May 23, 2018, the HMT1 generating asset was scheduled for a planned outage. Information available to the MSA indicates that the actual available capability (AC) of the unit during the outage was 0 MW. However, no restatement was made to update the declared AC from 5 MW to 0 MW. HMT1 received and accepted an energy dispatch of 5 MW for the duration of the outage. Subsection 3(3) of section 306.5 of the ISO rules states:

A pool participant must, with respect to:

- (a) any revisions to the information submitted to the ISO under subsection 3(1); or
- (a) [sic] a planned outage that is not included in the submission set out in subsection 3(2);

submit such information or planned outage as soon as reasonably practical.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 306.5.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capacity, dispatch and generation data for HMT1 for May 4 to 23, 2018.
2. Self-report submitted by AltaGas Ltd. to the MSA dated June 26, 2018.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE			
Signature	"Original Signed"	Signature Date	October 10, 2018
Name	Doug Doll	Title	Director, Corporate Services and Compliance