

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 15, 2018		Payment Due Date: December 17, 2018	
MSA File Number	2018-229	<b>Specified Penalty Amount</b>	<b>\$250</b>
Registered Entity Name	Capital Power (CBEC) L.P.		
Asset ID (if applicable)	ENC3	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	205.5	Date of Contravention	February 1, 2018
Date of Referral/Self Report	June 18, 2018	This is the first contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

On February 1, 2018 at approximately 06:45, the ENC3 generating asset was dispatched to provide 8 MW of spinning reserve effective at 07:00. At approximately 20:44 on the same date, ENC3 was dispatched to provide 15 MW of spinning reserve effective at 21:00. For several settlement intervals on this date, ENC3 did not meet the performance requirements when under dispatch to provide spinning reserve. Subsection 5 of section 205.5 of the ISO rules states:

(1) A pool participant must ensure that, following the receipt of a dispatch to provide spinning reserve, one (1) or more spinning reserve resources of the pool asset are positioned to provide the real power set out in the dispatch, within a total tolerance of minus:

- (a) 1 MW for a dispatch of less than or equal to 20 MW; or
- (b) 5% of the dispatch quantity for a dispatch greater than 20 MW.

(2) A pool participant must ensure that each spinning reserve resource being used to provide spinning reserve meets the requirements set out in subsection 5(1) beginning at:

- (a) the time stated in the dispatch, for a dispatch with a time more than fifteen (15) minutes from the time the pool participant receives the dispatch; or
- (b) the time stated in the dispatch or as soon as possible thereafter, but in any event, not more than fifteen (15) minutes after receiving the dispatch, for a dispatch with a time fifteen (15) minutes or less from the time the pool participant receives the dispatch.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 205.5.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO generation and dispatch data for ENC3 for February 1, 2018.
2. Self-report submitted by Capital Power (CBEC) L.P. to the MSA dated June 18, 2018.
3. Additional information provided by Capital Power (CBEC) L.P. to the MSA dated September 11, 2018 in response to a MSA communication dated September 7, 2018.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) [Jeremy.Smith@auc.ab.ca](mailto:Jeremy.Smith@auc.ab.ca), and Greg Andrews (Investigator) [Greg.Andrews@auc.ab.ca](mailto:Greg.Andrews@auc.ab.ca).

## NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

## SIGNATURE

Signature	"Original Signed"	Signature Date	November 15, 2018
Name	Doug Doll	Title	Director, Corporate Services and Compliance