

## NOTICE OF SPECIFIED PENALTY

Date of Issue: April 17, 2018		Payment Due Date: May 17, 2018	
MSA File Number	2018-082	<b>Specified Penalty Amount</b>	<b>\$750</b>
Registered Entity Name	TransAlta Generation Partnership		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	205.5	Date of Contravention	February 14, 2018
Date of Referral/Self Report	March 13, 2018	This is the second contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

On February 14, 2018 at approximately 08:45, the BRA generating asset was directed to provide 123 MW of spinning reserve. From the first time BRA achieved its spinning reserve directive response to the time fifteen minutes following the receipt of the directive, the average response was not equal to or greater than the amount of real power set out in the directive. Subsection 10(2) of section 205.5 of the ISO rules states:

A pool participant must ensure that, from the first time its pool asset achieves the response set out in subsection 10(1) to the time fifteen (15) minutes following receipt of the directive, the pool asset is providing an average response equal to or greater than the amount of real power set out in the directive.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 205.5.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO generation, dispatch and directive data for BRA for February 14, 2018.
2. Self-report submitted by TransAlta Generation Partnership to the MSA dated March 13, 2018.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) [Jeremy.Smith@auc.ab.ca](mailto:Jeremy.Smith@auc.ab.ca), and Greg Andrews (Investigator) [Greg.Andrews@auc.ab.ca](mailto:Greg.Andrews@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

**SIGNATURE**

Signature	"Original Signed"	Signature Date	April 17, 2018
Name	Andrew Wilkins	Title	Manager, Compliance