

## NOTICE OF SPECIFIED PENALTY

|                              |                                  |   |   |
|------------------------------|----------------------------------|---|---|
| Date of Issue: March 6, 2018 |                                  | Payment Due Date: April 5, 2018   |   |
| MSA File Number              | 2018-012                         | <b>Specified Penalty Amount</b>   | <b>\$250</b>  |
| Registered Entity Name       | TransAlta Generation Partnership |   |   |
| Asset ID (if applicable)     | BOW1                             | Self-Report   | <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO |
| ISO Rule                     | 205.5                            | Date of Contravention   | December 11, 2017   |
| Date of Referral/Self Report | January 11, 2018                 | This is the first contravention by this asset for this rule within a rolling 12 month period. |   |

### EVENT DETAILS

On December 11, 2017 at approximately 07:52, the BOW1 generating asset was directed to provide 45 MW of spinning reserve. BOW1 did not provide a quantity of real power equal to the instantaneous amount of real power of the pool asset at the time of the directive plus the amount of real power set out in the directive within ten minutes after receiving the directive. Subsection 7(1) of section 205.5 of the ISO rules states:

A pool participant must, within ten (10) minutes after receiving a directive to provide spinning reserve, ensure that the pool asset is providing a quantity of real power equal to the instantaneous amount of real power of the pool asset at the time of the directive plus the amount of real power set out in the directive, as measured in accordance with subsection 3(4).

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 205.5.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO generation, dispatch and directive data for BOW1 for December 11, 2017.
2. Self-report submitted by TransAlta Generation Partnership to the MSA dated January 11, 2018.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) [Jeremy.Smith@auc.ab.ca](mailto:Jeremy.Smith@auc.ab.ca), and Greg Andrews (Investigator) [Greg.Andrews@auc.ab.ca](mailto:Greg.Andrews@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

**SIGNATURE**

|           |                   |                |                     |
|-----------|-------------------|----------------|---------------------|
| Signature | "Original Signed" | Signature Date | March 6, 2018       |
| Name      | Andrew Wilkins    | Title          | Manager, Compliance |