

## NOTICE OF SPECIFIED PENALTY

Date of Issue: January 18, 2018		Payment Due Date: February 19, 2018	
MSA File Number	2017-470	<b>Specified Penalty Amount</b>	<b>\$250</b>
Registered Entity Name	Alberta Pacific Forest Industries		
Asset ID (if applicable)	AFG1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	306.5	Date of Contravention	December 4 to 7, 2017
Date of Referral/Self Report	December 5, 2017	This is the first contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

On November 6, 2017, a planned outage for December 4 to 7, 2017 was scheduled for generating asset AFG1. Information available to the MSA suggests that Alberta Pacific Forest Industries internally cancelled this outage in the calendar week prior to the scheduled outage start. However, Alberta Pacific Forest Industries did not update the available capability (AC) of AFG1 in the Energy Trading System until after AFG1 received an advanced energy dispatch at approximately 23:48 on December 3, 2017 to move from its current dispatch level of 17 MW to 0 MW effective at 00:00 on December 4, 2017. At approximately 23:54 on December 3, 2017, AFG1 restated the AC for all hours of December 4, 2017 from 0 MW to 32 MW. Between approximately 06:57 and 06:58 on December 4, 2017, AFG1 restated the AC for all hours of December 5, 6, and 7, 2017 from 0 MW to 32 MW. Subsection 3(3) of section 306.5 of the ISO rules states:

A pool participant must, with respect to:

- (a) any revisions to the information submitted to the ISO under subsection 3(1); or
  - (a) [*sic*] a planned outage that is not included in the submission set out in subsection 3(2);
- submit such information or planned outage as soon as reasonably practical.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 306.5.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability and dispatch data for AFG1 for December 4 to 7, 2017.
2. Self-report from Alberta Pacific Forest Industries to the MSA dated December 5, 2017.
3. MSA additional information request issued to Alberta Pacific Forest Industries dated January 2, 2018.
4. Alberta Pacific Forest Industries' response to the MSA additional information request dated January 2, 2018.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) [Jeremy.Smith@auc.ab.ca](mailto:Jeremy.Smith@auc.ab.ca), and Greg Andrews (Investigator) [Greg.Andrews@auc.ab.ca](mailto:Greg.Andrews@auc.ab.ca).

## NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

## SIGNATURE

Signature	"Original Signed"	Signature Date	January 18, 2018
Name	Andrew Wilkins	Title	Manager, Compliance