

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 6, 2017		Payment Due Date: August 8, 2017	
MSA File Number	2017-272	<b>Specified Penalty Amount</b>	<b>\$250</b>
Registered Entity Name	TransCanada Energy Ltd.		
Asset ID (if applicable)	TC01	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	505.4	Date of Contravention	June 10–11, 2017
Date of Referral/Self Report	June 29, 2017	This is the first contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

On June 10 and 11, 2017, operational testing that had not been described in written plans submitted to the Independent System Operator (ISO) at least 15 days prior was conducted on generating asset TC01. Subsection 2 of section 505.4 of the ISO rules states in part:

Each of the legal owner of a generating unit and the legal owner of an aggregated generating facility must provide final, written operational testing plans to the ISO:

[...]

(e) in sufficient time to allow the ISO to approve the operational testing plans a minimum of fifteen (15) days prior to the desired testing date.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 505.4.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Self-report submitted by TransCanada Energy Ltd. to the MSA dated June 29, 2017.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) [jeremy.smith@auc.ab.ca](mailto:jeremy.smith@auc.ab.ca), and Greg Andrews (Investigator) [greg.andrews@auc.ab.ca](mailto:greg.andrews@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature	"Original Signed"	Signature Date	July 6, 2017
Name	Doug Doll	Title	Director, Compliance and Corporate Services