

NOTICE OF SPECIFIED PENALTY

Date of Issue: May 15, 2017		Payment Due Date: June 15, 2017	
MSA File Number	2017-168	Specified Penalty Amount	\$1,250
Registered Entity Name	Shell Energy North America (Canada) Inc.		
Asset ID (if applicable)	SHBC	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	203.6	Date of Contravention	March 16, 2017
Date of Referral/Self Report	April 14, 2017	This is the second contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On March 15, 2017 at approximately 23:17, an offer was submitted for asset SHBC to import 50 MW in settlement period hour ending (HE) 06 on March 16, 2017. The e-tag for this transaction was curtailed to 0 MW at approximately 01:42 on March 16, 2017, however no energy restatement was submitted to revise the import offer accordingly for HE 06. Subsection 6(4) of ISO rule section 203.6 indicates that if the transmission service is curtailed by any transmission service provider or the ISO, the pool participant must submit as applicable an energy restatement or an ancillary services restatement.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.6.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO energy offer and e-tag data for SHBC for March 15 and 16, 2017.
2. Shell Energy North America (Canada) Inc.'s self-report to the MSA dated April 14, 2017.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) jeremy.smith@auc.ab.ca, and Greg Andrews (Investigator) greg.andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	May 15, 2017
Name	Doug Doll	Title	Director, Compliance and Corporate Services