

NOTICE OF SPECIFIED PENALTY

Date of Issue: April 21, 2017		Payment Due Date: May 22, 2017	
MSA File Number	2017-075	Specified Penalty Amount	\$500
Registered Entity Name	TransCanada Energy Ltd.		
Asset ID (if applicable)	TC02	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule	306.5	Date of Contravention	October 2, 2016 through October 11, 2016
Date of Referral/Self Report	February 15, 2017	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On August 17, 2016 at approximately 14:29, an available capability (AC) restatement was submitted for TC02 decreasing the AC from 46 MW to 0 MW for settlement periods hour ending (HE) 07 on October 2, 2016 through to HE 07 on October 8, 2016 with a stated reason of "Mass Spectrometer replacement Annual PM maintenance potential engine change". This outage was later extended to include HE 08 on October 8, 2016 through to HE 24 on October 11, 2016 at approximately 07:41 on October 7, 2016. Information available to the MSA indicated that the need for this outage was known to TransCanada Energy Ltd. on or prior to February 2, 2016. ISO rule 306.5 indicates that a pool participant must submit to the ISO, by the first day of every month, information regarding outages that are planned to occur at any time within the next twenty-four months. In the event that the planned outage information is submitted less than three months prior to the start date of the outage, the pool participant must also submit the reasons that the information deviates from the information previously submitted.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 306.5.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability data for TC02 from October 2, 2016 to October 11, 2016.
2. AESO information request issued to TransCanada Energy Ltd. dated January 24, 2017.
3. TransCanada Energy Ltd.'s response to the AESO information request dated January 27, 2017.
4. Referral from the AESO to the MSA dated February 15, 2017.
5. Additional submission from TransCanada Energy Ltd. to the MSA dated February 16, 2017.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) jeremy.smith@auc.ab.ca, and Greg Andrews (Investigator) greg.andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	April 21, 2017
Name	Doug Doll	Title	Director, Compliance and Corporate Services