

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 4, 2016

Payment Due Date: November 4, 2016

MSA File Number:	2016-271	Specified Penalty Amount:	\$ 250
Market Participant Name:	TransAlta Generation Partnership		
Asset ID (if applicable):	BRA	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	205.5	Date of Contravention:	July 26, 2016
Date of Referral/Self Report:	August 26, 2016	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On July 26, 2016 at 17:20, the BRA generating unit received an 80 MW spinning reserve directive, while also providing regulating reserve (RR). Due to human error, RR high and low limit settings for BRA were not revised to adequately account for the directed volume of spinning reserve and accordingly, the directive was not met. ISO rule section 205.5 indicates that a pool participant must ensure that after receiving a directive to provide spinning reserve, the asset is providing the quantity of real power equal to the instantaneous amount of real power of the asset at the time of the directive plus the amount of real power set out in the directive, no later than ten minutes after receiving the directive.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 205.5.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO dispatch, offer, and operational reason data for BRA for July 26, 2016.
2. Self report from TransAlta Generation Partnership, dated August 26, 2016.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Andrew Davison (Senior Market Analyst) andrew.davison@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	October 4, 2016
Name:	Doug Doll	Title:	Director Corporate Services and Compliance