

NOTICE OF SPECIFIED PENALTY

Date of Issue: August 22, 2016

Payment Due Date: September 21, 2016

MSA File Number:	2016-244	Specified Penalty Amount:	\$ 1,500
Market Participant Name:	TransAlta Generation Partnership		
Asset ID (if applicable):	BRA	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	203.3	Date of Contravention:	April 6, 2016
Date of Referral/Self Report:	August 10, 2016	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On April 6, 2016 at 06:47, a MW restatement was submitted for asset BRA for the hour ending (HE) 08 settlement interval to accommodate operational limitations of the asset due to the scheduled outage of one unit. At 07:49 another MW restatement was submitted for BRA for HE 09 and HE 10 for the same reason. In this case the MSA is of the view that the 06:47 and 07:49 restatements were not submitted as soon as reasonably practicable. ISO rule section 203.3 indicates that a pool participant that submits an offer may submit a MW restatement redistributing the MW to represent the operating state of the asset, as soon as reasonably practicable, if the asset cannot comply with the offer as a result of an acceptable operational reason, or an operational deviation, and an available capability restatement cannot accommodate the asset's operating state.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO dispatch, offer, and operational reason data for BRA for April 6, 2016.
2. AESO information request issued to TransAlta Generation Partnership dated July 13, 2016.
3. TransAlta Generation Partnership response to the AESO information request dated July 28, 2016.
4. Referral from the AESO to the MSA dated August 10, 2016.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Andrew Davison (Senior Market Analyst) andrew.davison@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	August 22, 2016
Name:	Doug Doll	Title:	Director Corporate Services and Compliance