

NOTICE OF SPECIFIED PENALTY

Date of Issue: April 12, 2016

Payment Due Date: May 12, 2016

MSA File Number:	2016-095	Specified Penalty Amount:	\$ 500
Market Participant Name:	Imperial Oil Resources		
Asset ID (if applicable):	IOR2	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	505.4	Date of Contravention:	December 23, 2015
Date of Referral/Self Report:	March 29, 2016	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On December 23, 2015 from 08:53 to 15:19, IOR2 conducted operational testing that had not received prior approval of the AESO. ISO rule 505.4 indicates that the legal owner of a generating unit must provide final, written operational testing plans to the ISO in sufficient time to allow the ISO to approve the operational testing plans a minimum of fifteen days prior to the desired testing date.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 505.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability data for IOR2 for December 23, 2015.
2. AESO information request issued to Imperial Oil Resources dated March 7, 2016.
3. Imperial Oil Resources' response to the AESO information request dated March 21, 2016.
4. Referral from the AESO to the MSA dated March 29, 2016.
5. Additional information from Imperial Oil Resources to the MSA dated April 5, 2016.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Andrew Davison (Senior Market Analyst) andrew.davison@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	April 12, 2016
Name:	Doug Doll	Title:	Director Corporate Services and Compliance