

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 10, 2015

Payment Due Date: December 10, 2015

MSA File Number:	2015-317	<b>Specified Penalty Amount:</b>	\$ 750
Market Participant Name:	Capital Power PPA Management Inc.		
Asset ID (if applicable):	SD5	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	203.4	Date of Contravention:	August 6, 2015
Date of Referral/Self Report:	October 1, 2015	This is the first contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

At 10:12 on August 6, 2015, SD5 experienced an operational deviation due to a feeder trip. At approximately 10:58, the asset returned to generating asset steady state. During the operational deviation, no available capability restatement was made for SD5. ISO rule 203.4 indicates that a pool participant that submits an offer must, if an operational deviation extends for twenty minutes or longer, submit an available capability restatement for the generating source asset that represents the operational capability of the asset no later than twenty minutes after the commencement of the operational deviation.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.4.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO generation and available capability data for SD5 for August 6, 2015.
2. Self-report from Capital Power PPA Management Inc. to the MSA dated October 1, 2015.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) [sabi.ghavami@auc.ab.ca](mailto:sabi.ghavami@auc.ab.ca), and Andrew Davison (Senior Market Analyst) [andrew.davison@auc.ab.ca](mailto:andrew.davison@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature:	"Original Signed"	Signature Date:	November 10, 2015
Name:	Doug Doll	Title:	Director Corporate Services and Compliance