

NOTICE OF SPECIFIED PENALTY

Date of Issue: August 25, 2015

Payment Due Date: September 25, 2015

MSA File Number:	2015-245	Specified Penalty Amount:	\$ 500
Market Participant Name:	Capital Power PPA Management Inc.		
Asset ID (if applicable):	SD6	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	201.4	Date of Contravention:	February 14, 2015
Date of Referral/Self Report:	August 10, 2015	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On February 14, 2015, SD6 received advanced dispatches for hours ending (HEs) 6 and 7 to provide 50 MW of dispatch down service (DDS). In both instances, the asset restated its DDS offers to 0 MW with an operational reason of "Erroneous DDS offer: incompatible with Energy Offer and RR" upon receipt of the dispatches. ISO rule 201.4 indicates that a pool participant must coordinate its submissions in a manner that ensures that the pool participant is able to comply with all dispatches related to those submissions.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 201.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO dispatch, available capability, ancillary services offer, and dispatch down service offer data for SD6 for February 14, 2015.
2. AESO information request issued to Capital Power PPA Management Inc. dated June 9, 2015.
3. Capital Power PPA Management Inc.'s response to the AESO information request dated June 30, 2015.
4. Referral from the AESO to the MSA dated August 10, 2015.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Andrew Davison (Senior Market Analyst) andrew.davison@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	August 25, 2015
Name:	Doug Doll	Title:	Manager Compliance and Corporate Services