

NOTICE OF SPECIFIED PENALTY

Date of Issue: July 13, 2015

Payment Due Date: August 12, 2015

MSA File Number:	2015-196	Specified Penalty Amount:	\$ 750
Market Participant Name:	TransAlta Energy Marketing Corporation		
Asset ID (if applicable):	TEBC	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	203.6	Date of Contravention:	June 15, 2015
Date of Referral/Self Report:	June 26, 2015	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On June 15, 2015, TEBC scheduled 100 MW for import over the BC intertie for hour ending (HE) 13. The import offer was curtailed by the AESO to 75 MW at 11:42. The import available capability (AC) was restated from 100 MW to 75 MW in the Energy Trading System (ETS) within the hour at 12:18. ISO rule 203.6 indicates that a pool participant must submit an energy restatement in accordance with subsection 3.5.3.2 of the ISO rules (superseded by ISO rule 203.3) if there is any change in the available capability of the asset. ISO rule 203.3 indicates that a pool participant that submits an offer must, if there is a change to the available capability of the source asset as a result of an acceptable operational reason, submit an available capability restatement revising the available capability for the applicable hours, as soon as reasonably practicable.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.6.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO e-tag, and available capability data for TEBC for June 15, 2015.
2. Self-report from TransAlta Energy Marketing Corporation to the MSA dated June 26, 2015.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Andrew Davison (Senior Market Analyst) andrew.davison@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	July 13, 2015
Name:	Doug Doll	Title:	Manager Compliance and Corporate Services