

## NOTICE OF SPECIFIED PENALTY

Date of Issue: June 22, 2015

Payment Due Date: July 22, 2015

MSA File Number:	2015-166	<b>Specified Penalty Amount:</b>	\$ 750
Market Participant Name:	Capital Power (Alberta) Limited Partnership		
Asset ID (if applicable):	ENC1	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	203.3	Date of Contravention:	May 8, 2015
Date of Referral/Self Report:	June 8, 2015	This is the first contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

The ENC1 asset was scheduled for a maintenance outage extending from May 21 – 29, 2015. Within the outage period, a reliability test run was planned during hour ending (HE) 14 on May 25, 2015. This test was approved by AESO on May 8, 2015, and an available capability (AC) restatement was submitted via the Energy Trading System (ETS). AC declarations for HEs 15-23 on May 25, 2015 however, indicated that the unit was back online although the outage continued through this period as planned. Inaccurate AC declarations in this interval were rectified on May 19, 2015. ISO rule 203.3 indicates that a pool participant that submits an offer must, if there is a change to the available capability of the source asset as a result of an acceptable operational reason, submit an available capability restatement revising the available capability for the applicable hours, as soon as reasonably practicable.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.3.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability data for ENC1 for May 21, 2015 to May 29, 2015.
2. Self-report from Capital Power (Alberta) Limited Partnership to the MSA dated June 8, 2015.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) [sabi.ghavami@auc.ab.ca](mailto:sabi.ghavami@auc.ab.ca), and Darin Lowther (Director, Markets) [darin.lowther@auc.ab.ca](mailto:darin.lowther@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature:	"Original Signed"	Signature Date:	June 22, 2015
Name:	Doug Doll	Title:	Manager Compliance and Corporate Services