

NOTICE OF SPECIFIED PENALTY

Date of Issue: May 29, 2015

Payment Due Date: June 29, 2015

MSA File Number:	2015-126	Specified Penalty Amount:	\$ 500
Market Participant Name:	Praxair Canada Inc.		
Asset ID (if applicable):	PCIF	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	3.6.2	Date of Contravention:	October 9, 2014
Date of Referral/Self Report:	May 8, 2015	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On October 9, 2014, PCIF was dispatched to provide 18 MW of supplemental reserve load (SUPL) for hours ending (HE) 09 to 21. However, from 09:04 to 15:45 PCIF was unable to provide 18 MW of SUPL based upon PCIF load during this period varying between 0 MW and 17 MW. A restatement was not submitted by PCIF regarding the change in its capability to provide SUPL. ISO rule 3.6.2 states that ancillary services providers are required to submit an initial declaration for each asset and thereafter are required to restate changes in the capability of the asset to deliver the service. Rule 3.6.2 further states that Information, which has been declared, remains in effect until the pool participant submits a change through the restatement process.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 3.6.2.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO load, and dispatch data for PCIF for October 9, 2014.
2. AESO information request issued to Praxair Canada Inc. dated March 19, 2015.
3. URICA Energy Real Time Ltd.'s response to the AESO information request dated April 2, 2015.
4. Referral from the AESO to the MSA dated May 8, 2015.
5. Additional information from URICA Energy Real Time Ltd. to the MSA dated May 20, 2015.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Markets) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	May 29, 2015
Name:	Doug Doll	Title:	Manager Compliance and Corporate Services