

## NOTICE OF SPECIFIED PENALTY

Date of Issue: August 14, 2015

Payment Due Date: September 14, 2015

MSA File Number:	2015-114	<b>Specified Penalty Amount:</b>	\$ 750
Market Participant Name:	ENMAX Shepard Services Inc.		
Asset ID (if applicable):	EGC1	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	203.3	Date of Contravention:	April 10, 2015
Date of Referral/Self Report:	April 29, 2015	This is the first contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

At 20:44 on April 10, 2015, the available capability (AC) declarations for EGC1 between October 10 and 18, 2015 were restated in the Energy Trading System (ETS) from 0 MW to 793 MW without a valid acceptable operational reason (AOR). This restatement was reversed by a subsequent AC restatement submitted at 22:56 on April 13, 2015. ISO rule 203.3 directs that a pool participant must only submit an available capability restatement revising the available capability of the source asset if such revision is as a result of: i) an acceptable operational reason, ii) an operational deviation, or iii) commissioning or testing under section 505.3 or 505.4 of the ISO Rules.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.3.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability data for EGC1 for October 10 to 18, 2015.
2. NGX trade data for April 10 to 14, 2015 pertaining to October 2015 Alberta Electricity contracts.
3. Self-report from Capital Power (Alberta) Limited Partnership on behalf of ENMAX Shepard Services Inc. to the MSA dated April 29, 2015.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) [sabi.ghavami@auc.ab.ca](mailto:sabi.ghavami@auc.ab.ca), and Andrew Davison (Senior Market Analyst) [andrew.davison@auc.ab.ca](mailto:andrew.davison@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature:	"Original Signed"	Signature Date:	August 14, 2015
Name:	Doug Doll	Title:	Manager Compliance and Corporate Services