

NOTICE OF SPECIFIED PENALTY

Date of Issue: April 15, 2015

Payment Due Date: May 15, 2015

MSA File Number:	2015-064	Specified Penalty Amount:	\$ 750
Market Participant Name:	Powerex Corp.		
Asset ID (if applicable):	PWBC	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	203.6	Date of Contravention:	February 28, 2015
Date of Referral/Self Report:	March 11, 2015	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On February 28, 2015, PWBC was initially scheduled to import 250 MW of energy for the HE 19 and HE 20 settlement periods. At approximately 17:41 MST the AESO curtailed the PWBC import schedule for HE 19 from 250 MW to 208 MW. At 17:43 MST an available capability (AC) restatement was submitted having the effect of restating AC for HE 19 from 250 MW to 208 MW however, the 17:43 restatement also restated AC for HE 20 from 250 MW to 208 MW without an acceptable operational reason (AOR). The error was corrected at 19:06 MST. ISO rule 203.6 indicates that a pool participant must submit an energy restatement in accordance with subsection 3.5.3.2 of the ISO rules (superseded by ISO rule 203.3) if there is any change in the available capability of the asset. ISO rule 203.3 requires that a pool participant must only submit an available capability restatement if such a revision is a result of an acceptable operational reason.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.6.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability and e-tag data for PWBC for February 28, 2015.
2. Self-report from Powerex Corp. to the MSA dated March 11, 2015.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Markets) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	April 15, 2015
Name:	Doug Doll	Title:	Manager Compliance and Corporate Services