

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 17, 2015

Payment Due Date: March 19, 2015

MSA File Number:	2015-006	Specified Penalty Amount:	\$ 250
Market Participant Name:	Powerex Corp.		
Asset ID (if applicable):	PWSR	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	OPP 403	Date of Contravention:	December 17, 2014
Date of Referral/Self Report:	January 9, 2015	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

From December 16th to 17th, ancillary services dispatches were initiated and accepted for the PWSR asset to provide external spinning reserves on December 17th during off-peak hours. However, a capacity e-tag was not submitted for hour ending (HE) 2 to 7 and HE 24. OPP 403 states that the Ancillary Service Provider must submit a capacity e-tag for spinning and supplemental reserves.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of OPP 403.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO ancillary services dispatch and e-tag data for PWSR for December 17, 2014.
2. Self-report from Powerex Corp. to the MSA dated January 9, 2015.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	February 17, 2015
Name:	Doug Doll	Title:	Manager Compliance & Corporate Services