

NOTICE OF SPECIFIED PENALTY

Date of Issue: August 6, 2019		Payment Due Date: September 5, 2019	
MSA File Number	2019-037	Specified Penalty Amount	\$750
Registered Entity Name	TransAlta Generation Partnership		
Asset ID (if applicable)	KH3	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	203.4	Date of Contravention	December 12, 2018
Date of Referral/Self Report	January 16, 2019	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

At approximately 12:42 on December 12, 2018, the KH3 generating asset received and accepted an energy dispatch for 248 MW, effective at 13:00. However, KH3 did not reach the prescribed dispatch level plus or minus the allowable dispatch variance in the time specified in section 203.4 of the ISO rules. Subsection 4(2) of section 203.4 of the ISO rules states, in part:

A pool participant must ensure that each generating source asset reaches generating asset steady state in:

- (a) no longer than the period of time calculated as follows:
 - (i) divide the change in dispatch MW by the ramp rate the pool participant submits;
 - (ii) add forty percent (40%) of the time calculated in subsection 4(2)(a)(i) or five (5) minutes, whichever is greater; and
 - (iii) add the ten (10) minutes referred to in subsection 4(1); and

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 203.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch and generation data for KH3 for December 12, 2018.
2. Self-report submitted by TransAlta Generation Partnership to the MSA dated January 16, 2019.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

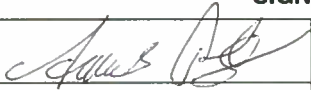
NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE			
Signature		Signature Date	August 6, 2019
Name	Anders Renborg	Title	Director, Compliance