



MSA Compliance Process Stakeholder Consultation and Public Reporting

*Presentation to the
Alberta Compliance Discussion Council*

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MARKET SURVEILLANCE
ADMINISTRATOR

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MSA Compliance Process Consultation

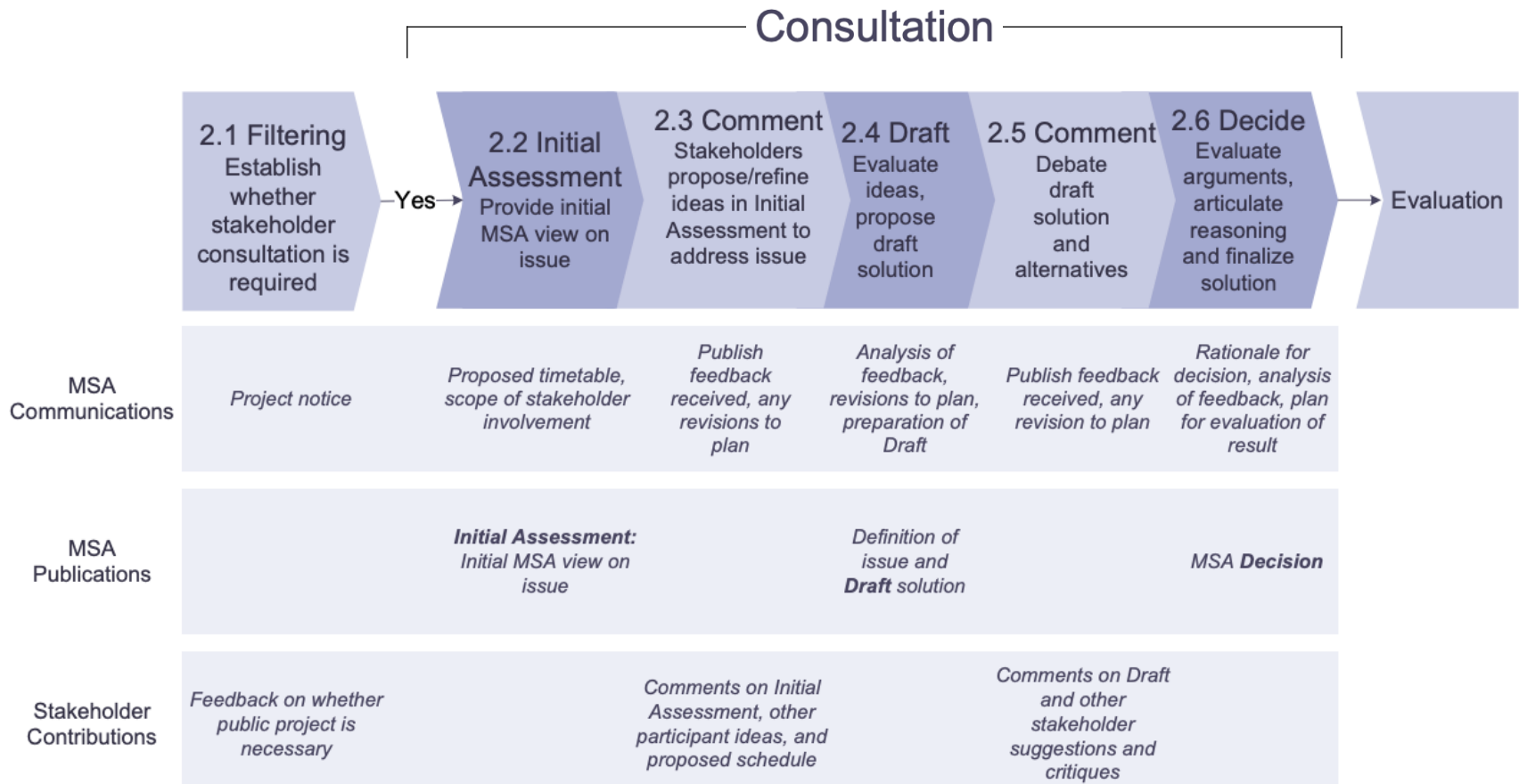
Background re MSA Compliance Process Consultation

- Revisions to the MSA Compliance Process were last made in October 2016.
- Since then, there have been many changes, including:
 - the establishment of new Alberta Reliability Standards (including Critical Infrastructure Protection reliability standards);
 - the adoption of new sections of the ISO Rules; and
 - red tape reduction.
- The MSA initiated a Stakeholder Consultation on the Compliance Process on **August 7, 2020**

Separate but related comments on AUC Rules 019 and 027

- At the same time, the MSA sent a letter to the Alberta Utilities Commission regarding amendments to AUC Rules 019 and 027.
- **Re: AUC Rule 019, Specified Penalties for Contravention of ISO Rules**
 - Changes were proposed that would simplify and reduce the costs of its administration.
- **Re: AUC Rule 027, Specified Penalties for Contravention of Reliability Standards**
 - Aside from clarifying the publication of notices of specified penalty regarding contraventions of CIP reliability standards, the MSA no longer sees a need to pursue any of the other possible amendments to AUC Rule 027 that it raised in the past.

Overview of MSA Stakeholder Consultation Process



Source: [MSA Stakeholder Consultation Process](#), August 11, 2016.

Compliance Process Consultation Timeline: Completed Stages

2.1 Filtering

- [Notice published](#) to announce stakeholder consultation and invite preliminary feedback | August 7
- Preliminary feedback period | August 7 – August 29
- Feedback provided by six stakeholders

Compliance Process Consultation Timeline: Completed Stages, continued

2.2 Initial Assessment

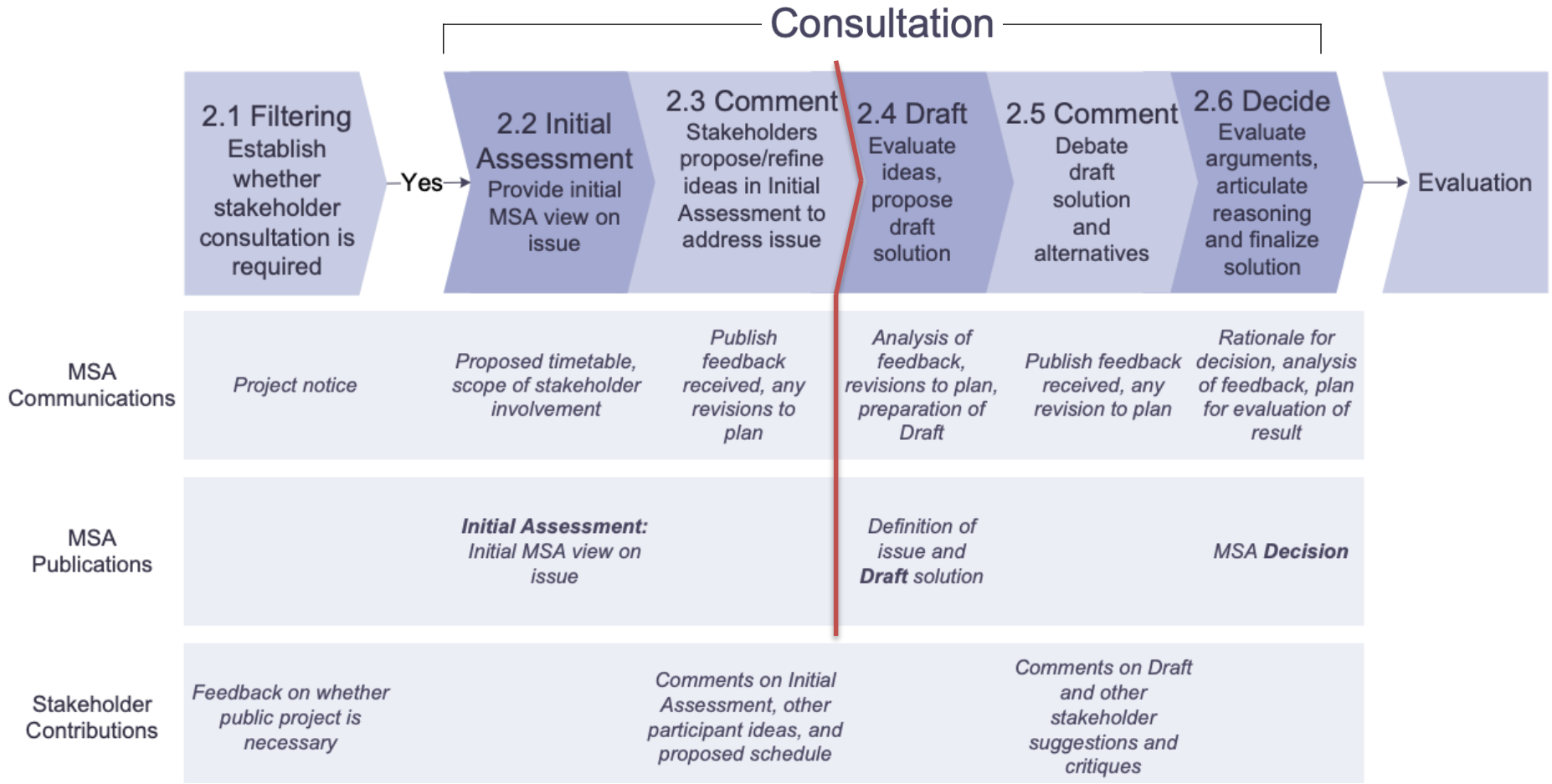
- Feedback analysis and scoping exercise completed; six topic areas identified | August 30 – September 9
 - Self-reporting process
 - Compliance monitoring and referral process
 - Forbearance criteria and specified penalties
 - Conditional forbearance contravention mitigation
 - Transparency and reporting
 - Additional comments
- [Notice published](#) to share consultation topics and invite stakeholder comments | September 9

Compliance Process Consultation Timeline: Completed Stages, continued

2.3 Comment

- Stakeholder comment period to respond to topics in the Initial Assessment | September 9 – 23
- Comments provided by nine stakeholders:
 - Alberta Electric System Operator, AltaLink, Capital Power, ENMAX, EPCOR, Heartland Generation, Industrial Power Consumers Association of Alberta, Suncor, and TransCanada Energy
- [Notice published](#) to share the stakeholder comments received | September 25

Compliance Process Consultation Timeline: Current State



Compliance Process Consultation Timeline: Next Steps

2.4 Draft

- A review of stakeholder comments is currently underway to synthesize and evaluate feedback. A revised Compliance Process will be drafted in response to these comments.
- The MSA is aiming to make the proposed revisions public on or about October 22 and will schedule a virtual stakeholder meeting about one week later to walk through, discuss, and take feedback regarding the proposed changes.
 - Public notice regarding the virtual stakeholder meeting will be given on or about October 9.
 - Are there any other stakeholder meetings on the week of October 27 – 30? October 29 is the most likely date at this point.

Compliance Process Consultation Timeline: Next Steps

2.5 Comment

- Written comments regarding the revised Compliance Process will be solicited. The due date will be after the virtual stakeholder meeting.
- As in stage 2.3, these comments will be made public.
- This is the final stage of the MSA's Stakeholder Consultation Process for stakeholders to provide feedback, suggestions, and critiques. All comments will be fully considered by the MSA.

2.6 Decide

- The MSA will review and evaluate stakeholder feedback and make final revisions to Compliance Process. The final revised Compliance Process will be published, along with an analysis of feedback, rationale for decisions made, and a plan for future evaluation.

Questions regarding the MSA's Compliance Process Consultation?

MSA Public Reporting

MSA Public Reporting

- The MSA has resumed regularly publishing a Quarterly Report:
 - [Quarterly Report for Q1 2020](#), published May 14, 2020
 - [Quarterly Report for Q2 2020](#), published August 11, 2020
 - Quarterly Report for Q3 2020, scheduled for mid-November 2020
- Focus of the MSA's Quarterly Reports:
 - recent outcomes Alberta's electricity and retail natural gas markets,
 - analysis of these market outcomes,
 - a summary of the MSA's recently completed investigations and issue assessments, and
 - an update on the MSA's compliance activities in the quarter.
- More timely publication of the Annual Compliance Review.
- Comments on focus are welcome on an ongoing basis.

Questions regarding the MSA's Public Reporting?

Feedback

- Feel free to contact us with additional feedback or questions. If the feedback or questions are better directed at someone else, we can follow-up within the MSA.
- MSA Stakeholder Consultation mailbox at stakeholderconsultation@albertamsa.ca