

# NOTICE OF SPECIFIED PENALTY

Date of Issue: July 19, 2019		Payment Due Date: August 19, 2019	
MSA File Number	2019-086	<b>Specified Penalty Amount</b>	<b>\$750</b>
Registered Entity Name	Shell Energy North America (Canada) Inc.		
Asset ID (if applicable)	SHXB	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	203.6	Date of Contravention	February 18, 2019
Date of Referral/Self Report	February 19, 2019	This is the first contravention by this asset for this rule within a rolling 12 month period.	

## EVENT DETAILS

On February 18, 2019, at 00:50, the e-tag export volume for SHXB was 150 MW for the HE 03 and HE 04 settlement intervals. At 02:43 SHXB's e-tag was curtailed to 98 MW for the HE 04 settlement interval; however, SHXB restated its available capability to 98 MW for the HE 03 settlement interval at 02:47. SHXB did not restate its available capability for the HE 04 settlement interval until 03:54. Section 203.6 of the ISO rules indicates that an export bid should correspond to the scheduled interchange transaction. Subsection 5(3) of section 203.6 of the ISO rules states:

(3) If after complying with subsection (2):

- (a) the pool participant is unable to procure all or a portion of the requested transmission service for an energy interchange transaction; or
- (b) the transmission service for an energy interchange transaction is curtailed after procurement either by any transmission service provider or the ISO;

then such a circumstance is a reason the pool participant must submit a restatement of available capability, and may be the basis for the determination of an acceptable operational reason under subsection (iv) of that definition.

## FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 203.6.

## MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. E-tag data and AESO export bid data for SHXB for February 18, 2019.
2. Self-report submitted by Shell Energy North America (Canada) Inc. to the MSA dated February 19, 2019.

## DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) [Jeremy.Smith@auc.ab.ca](mailto:Jeremy.Smith@auc.ab.ca), and Greg Andrews (Investigator) [Greg.Andrews@auc.ab.ca](mailto:Greg.Andrews@auc.ab.ca).

## NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

## SIGNATURE

Signature		Signature Date	July 19, 2019
Name	Anders Renborg	Title	Director, Compliance