

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 11, 2019		Payment Due Date: August 12, 2019	
MSA File Number	2019-049	<b>Specified Penalty Amount</b>	<b>\$500</b>
Registered Entity Name	Air Liquide Canada Inc.		
Asset ID (if applicable)	ALS1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule	205.3	Date of Contravention	July 9, 2018
Date of Referral/Self Report	January 25, 2019	This is the first contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

At approximately 05:52 on July 9, 2018, the ALS1 generating asset experienced an operational deviation. Between approximately 05:52 and 06:46 ALS1's generation remained at 0 MW, and at approximately 06:46 and 06:47 ALS1's available capability was restated to 0 MW to reflect the asset's operational capability for the hour ending (HE) 07 through HE 24 settlement intervals. However, between approximately 05:52 and 07:00 ALS1 remained dispatched for 5 MW of regulating reserve, and between approximately 05:52 and 08:44 ALS1 remained dispatched for 6 MW of supplemental reserve. ALS1 had non-zero offers for supplemental and regulating reserves for the HE 06 through HE 24 settlement intervals. At approximately 08:42, ALS1 restated its offers for supplemental and regulating reserves to 0 MW for the HE 09 through HE 24 settlement intervals. ALS1 had non-zero offers for spinning reserve for the HE 08 through HE 23 settlement intervals. At approximately 08:42, ALS1 restated its offers for spinning reserve to 0 MW for the HE 09 through HE 23 settlement intervals. Subsection 3(4) of section 205.3 of the ISO rules states:

A pool participant that submits an offer must, as soon as reasonably practicable, submit a restatement to represent the operating state of the pool asset if:

- (a) the pool asset is no longer able to deliver the MW set out in the offer; or
- (b) the pool participant is not able to meet the requirements set out in Section 205.4 of the ISO rules, Regulating Reserve Technical Requirements and Performance Standards, Section 205.5 of the ISO rules, Spinning Reserve Technical Requirements and Performance Standards or Section 205.6 of the ISO rules, Supplemental Reserve Technical Requirements and Performance Standards, as applicable.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 205.3.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch, generation and ancillary services offer data for ALS1 for July 9, 2018.
2. AESO information request issued to Air Liquide Canada Inc. dated December 17, 2018.
3. Air Liquide Canada Inc.'s response to the AESO information request dated January 4, 2019.
4. Referral from the AESO to the MSA dated January 25, 2019.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) [Jeremy.Smith@auc.ab.ca](mailto:Jeremy.Smith@auc.ab.ca), and Greg Andrews (Investigator) [Greg.Andrews@auc.ab.ca](mailto:Greg.Andrews@auc.ab.ca).

## NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

## SIGNATURE

Signature		Signature Date	July 11, 2019
Name	Anders Renborg	Title	Director, Compliance