

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 11, 2019		Payment Due Date: August 12, 2019	
MSA File Number	2019-048	<b>Specified Penalty Amount</b>	<b>\$1,500</b>
Registered Entity Name	Air Liquide Canada Inc.		
Asset ID (if applicable)	ALS1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule	203.4	Date of Contravention	July 9, 2018
Date of Referral/Self Report	January 25, 2019	This is the first contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

At approximately 05:52 on July 9, 2018, the ALS1 generating asset experienced an operational deviation. ALS1's generation remained at 0 MW between approximately 05:52 and 06:46, which was outside its allowable dispatch variance. During the hour ending (HE) 06 settlement interval the energy dispatch was 69 MW, and beginning at HE 07 the energy dispatch was 68 MW. ALS1's available capability (AC) during HE 06 was 80 MW and remained unchanged throughout the hour. ALS1's AC at the start of HE 07 was 79 MW, and this was restated to 0 MW at approximately 06:46, effective immediately. Subsection 5(3) of section 203.4 of the ISO rules states:

A pool participant must, if an operational deviation extends for twenty (20) minutes or longer, submit an available capability restatement or MW restatement for the generating source asset that represents the operational capability of the generating source asset and must do so no later than twenty (20) minutes after the commencement of the operational deviation.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 203.4.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch and generation data for ALS1 for July 9, 2018.
2. AESO information request issued to Air Liquide Canada Inc. dated December 17, 2018.
3. Air Liquide Canada Inc.'s response to the AESO information request dated January 4, 2019.
4. Referral from the AESO to the MSA dated January 25, 2019.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) [Jeremy.Smith@auc.ab.ca](mailto:Jeremy.Smith@auc.ab.ca), and Greg Andrews (Investigator) [Greg.Andrews@auc.ab.ca](mailto:Greg.Andrews@auc.ab.ca).

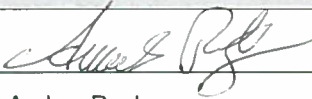
### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

SIGNATURE			
Signature		Signature Date	July 11, 2019
Name	Anders Renborg	Title	Director, Compliance