

NOTICE TO PARTICIPANTS AND STAKEHOLDERS

September 19, 2016

Re: Review of the MSA Compliance Process

As part of its initiative to review policies and procedures to ensure they remain clear and relevant, the MSA is initiating a stakeholder consultation on its compliance process. The MSA's compliance process guide has been revised periodically since its initial publication in 2010 but for purposes of consequential amendment only. The MSA has conducted an initial review and is providing the following red-lined draft for consideration. Based upon comments received, the MSA will assess whether there is a basis for additional changes and whether a second round of consultation is warranted. Comments are also invited with respect to process administration i.e. timeliness, forms, etc. and level of compliance reporting.

[Compliance Process Red-lined Draft](#)

Interested parties are invited to submit their written comments on or before **October 3, 2016** to stakeholderconsultation@albertamsa.ca. All submissions will be made public on the MSA's website shortly thereafter. Please direct any questions to the undersigned at doug.doll@albertamsa.ca.

Yours truly,

/s/ Doug Doll

Director Corporate Services and Compliance