| White text on a black background  Description automatically generated with medium confidence**ISO RULES SELF-REPORT FORM[[1]](#footnote-2)** |
| --- |
| MARKET PARTICIPANT information |
| Market Participant Name: |       |
| Address: |       | Contact Name: |       |
| Contact Phone Number: |       | Contact E-mail: |       |
| Compliance program in place? | [ ]  YES [ ]  NO |
| Contravention Information |
| Asset ID (If applicable): |       | ISO Rules Section(s): |       |
| Date of Contravention: |       |
| If this Self-Report is not submitted within 30 days of the date the contravention began, provide reasons for the delay: |       |
| Describe in detail the contravention, including time, duration and any other information that you consider to be relevant, including any mitigating circumstances and corrective actions:      |
| Signature |
| *I acknowledge that I am authorized on behalf of the above-named market participant to sign this Self-Report, and that I do so believing it is accurate.* |
| Name: |  | Title: |  |
| Signature: |  | Date: |  |

1. This form is provided in accordance with the MSA Compliance Process, available at [www.albertamsa.ca](http://www.albertamsa.ca) and may be used to satisfy the self-disclosure requirements of AUC Rule 019. [↑](#footnote-ref-2)